



**TO:** Clearing Members  
**FROM:** MGEX Clearing & Market Operations  
**DATE:** September 20, 2017  
**SUBJECT:** FIA DR Test October 14, 2017 – MGEX Trade Entry Scripts

Minneapolis Grain Exchange (“MGEX”) will participate in the industry-wide Futures Industry Association (“FIA”) sponsored Disaster Recovery (“DR”) test on Saturday, October 14, 2017. All MGEX Clearing Members are required to participate in the test as described below, including entering trades on both CME Globex® and the MGEX Trade Entry Match System (“TEMS”), as well as retrieving the corresponding TREX files and sending confirmation to MGEX. Failure to participate will result in disciplinary action.

**Clearing Members must complete the following four test components during the DR test:**

**1. Trade Entry – CME Globex®**

All Clearing Members must enter trades on CME Globex®. On the day of the test, Globex® will be available in a Pre-Open state at approximately 7:30am (CDT) and will be moved to an open state at approximately 8:00 am (CDT). CME will provide updates as to exact Pre-Open and open times via the FIA conference bridge available.

All MGEX Products (ticker symbol shown below) will be available on Globex® for the FIA DR test:

Hard Red Spring Wheat (MWE)	Soft Red Winter Wheat Index (IW)
Apple Juice Concentrate (AJC)	Hard Red Winter Wheat Index (IH)
National Corn Index (IC)	Hard Red Spring Wheat Index (IP)
National Soybean Index (IS)	

Bids and offers should be entered in MGEX products (i.e. Spring Wheat (MWE) or Spring Wheat Options (OMW)) at the previous day’s settlement price (this will ensure your trade matches with other participants). In order to complete this part of the test process, Clearing Members must ensure they have matched trades on Globex®.

Hard Red Spring Wheat Futures (MWE)	i.e. MWEZ7
Hard Red Spring Wheat Options (OMW)	i.e. OMWZ7 C0650

**2. Trade Entry – MGEX TEMS**

In addition to entering trades on Globex®, Clearing Members must enter either an ex-pit transaction (EFP/EFR) or an open outcry option transaction via TEMS. Clearing Members should simply enter both sides of the transaction to ensure a successful match occurs in TEMS for this portion of the test.

Hard Red Spring Wheat Futures (W) i.e. W 12 17 (commodity, month, year)  
Hard Red Spring Wheat Options (WC or WP) i.e. WC 12 17 650 (comm., mth, yr, strike)

Clearing Members can use either October 13th or October 14th for the trade date.

### **3. Post Trade Documentation – MGEX TREX trade file**

Trades entered into Globex® and TEMS during the exercise will be processed by the MGEX Clearing House, and Clearing Members must download the corresponding TREX trade file. Clearing Members will utilize the MGEX DR FTP server to retrieve the TREX files. The trade file name will correspond with the new convention being used today, i.e. – TRXzz\_yyyymmdd.txt (where zz equates to the Clearing Firm code & yyyymmdd equals trade date).

### **4. Post Trade Documentation – Submit to MGEX**

After completing the above steps, Clearing Members must submit the FTP file available via the MGEX DR FTP site to MGEX by emailing [lhopkins@mgex.com](mailto:lhopkins@mgex.com). Upon submitting such, MGEX staff will inform the Clearing Member whether they have successfully completed the FIA DR test for MGEX or if further action is required.

### **MGEX Testing Contacts**

Should you have any questions concerning any memo or testing requirements with MGEX, please contact Lindsay Hopkins at 612-321-7143 or [lhopkins@mgex.com](mailto:lhopkins@mgex.com).

On the test day, please contact the MGEX Clearing House at 612-321-7146 if you have any questions concerning the test or participation with MGEX. Or feel free to contact the following individuals directly for connectivity issues or concerns:

Todd Posthuma	(612) 321-7132	<a href="mailto:tposthuma@mgex.com">tposthuma@mgex.com</a>
Ben Lundgren	(612) 321-7178	<a href="mailto:blundgren@mgex.com">blundgren@mgex.com</a>
Tim Carlson	(612) 321-7105	<a href="mailto:tcarlson@mgex.com">tcarlson@mgex.com</a>