

MEMBER NOTICE

August 1, 2018

M18/023 OCTOBER 2018 INDUSTRY-WIDE DISASTER RECOVERY TEST

ICE Futures U.S. (IFUS) and ICE Clear US (ICUS) will again participate in the industry-wide FIA sponsored Disaster Recovery test on **Saturday, October 13, 2018**. On this day, futures exchanges, clearing houses, FCMs, and service providers will simultaneously test connectivity and functionality between back-up sites. For IFUS and ICUS this will involve the activation of our Atlanta backup data center facility.

Test Registration

Clearing members are required to register for the test through the FIA registration portal. Upon first visiting the registration page, enter the generic username and password (referenced below) into the fields under "NEW Test Registration" to gain access to the registration form. To make subsequent changes, users will enter the username and password created on their initial visit in the "EDIT Test Registration" box.

Generic log-in information:

<https://secure.fia.org/bcp/test-registration.asp>

Username: **bcd**

Password: **F1a2o1***

IMPORTANT: While everyone has the ability to create their own username and password to access, submit and make updates to the registration form, it will be important to coordinate registration within your firm to avoid duplicating efforts and registration forms.

Pre-Testing (Ping testing)

Clearing Members will be able to conduct connectivity pretesting on Saturday, September 15, 2018 (9:00 am – 12:00 pm **all times are Eastern**).

Clearing members can contact ICE through the ICE Help Desk at (770) 738-2101. Select option #1 then #1 for trade system inquiries, or option #2 then #1 for clearing inquiries. This contact number will be used for both the Pre-Test and Test Day activities.

Clearing members may complete a pre-test on this date to ensure a connection to the backup data center can be successfully completed. **Clearing members that have participated in a Pre / Ping Test must complete and return a Pre-Test Checklist to ICE Clear US.** Please email the checklist to iceclearus@theice.com.

Test Day

Trade Entry

9:00 am – 12:00 pm

Clearing Members and traders will be free to enter trades into the WebICE electronic trading platform for Sugar #11 and Dollar Index on test day from 9:00 am – 12:00 pm. ICE will switch the trading platform to its back-up configuration prior to the test. Users will log in using their production user ID's and passwords.

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Post Trade Management

Trade executions and allocations will be visible in PTMS/ACT so clearing members can complete trade acceptance and allocation activities. All clearing online applications (ECS, PTMS/ACT, MFT) should be accessed using production URL's.

Trade Messaging

FixML MQ message traffic – test trade and give-up activity will be reflected in the FixML real time trade feed to CMs.

File Transfers – MFT

Match Off file – Production Matchoff files from the Friday, October 12, 2018 trading day will be available for download in MFT on Test Day.

GCM & Large Trader - Clearing Members will send in PCS and Large Trader files to MFT at any time between 9:00 am and 12:00 pm on test day. These should be the production files from the Friday, October 12, 2018 trading day.

ICE Risk Model files for this test will be available through ICUS' MFT application.

Back to Production

12:30 – 1:30 pm

Production Reconnections – ICUS will reestablish production connectivity to all clearing applications.

1:30 – 3:30 pm

Verify Clearing Member Connectivity - At the conclusion of this testing on Saturday, October 13, 2018 ICE will have technical support available to verify that clearing members have re-established production connectivity.

Clearing Members are required to complete and return the Test Day checklist upon completion of testing. Please scan, sign and email the checklist to iceclearus@theice.com.

Clearing members that wish to complete a MS Word version of the checklists can contact ICE Clear US to obtain this version.

FOR MORE INFORMATION

ICE Clear US
ICEClearUS@theice.com
(212) 748-4001
(312) 836-6777

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Key Information Documents for certain products covered by the EU Packaged Retail and Insurance-based Investment Products Regulation can be accessed on the relevant exchange website under the heading "Key information Documents (KIDS)," commencing January 1, 2018.



**ICE Clear US
Clearing Member Connectivity Pre-Test Checklist
Saturday, September 15, 2018**

Testing hours are from 09:00-12:00 ET
For support on the day of the ping test, contact: (770) 738-2101; option #2 then #1

Overview: The purpose of the ping tests is to allow clearing members to exercise the procedures and system changes necessary to connect to the clearing applications from their backup sites. You will see a login page and/or banner message for each application. You will not need to log in to the applications.

Please complete **and sign** this form and return a scanned copy by email to ICE Clear US, iceclearus@theice.com.

	Completed	Not Completed	Location (Backup or Primary)
PTMS			
Connect to Login Screen https://ptms.usclearing.theice.com/client/ and select the "Launch PTMS" link.	_____	_____	_____
ACT			
Connect to Login Screen https://fec.usclearing.theice.com/	_____	_____	_____
ECS			
Connect to Login Screen https://ecs.usclearing.theice.com/console/index.html	_____	_____	_____
FIXML Trade Feed/MQ Channel			
Ping Channel	_____	_____	_____
MFT File Transfer			
Connect to mft.usclearing.theice.com using SFTP software.			
Upload LT, PCS, or GCM File	_____	_____	_____
Download Match-Off File	_____	_____	_____

Date: _____

Clearing Member Name: _____

Clearing Member Representative: _____

Telephone Number: _____

Email: _____

Signature: _____

Clearing Members must attempt to complete each item. For any items not successfully completed please indicate the reason in the space below.

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**ICE Clear US
Clearing Member Test Day Checklist
Saturday, October 13, 2018**

Testing hours are from 09:00-12:00 ET.

For clearing support on the day of the DR Test, contact the ICE Helpdesk (770) 738-2101 option #2 then #1. For Trading System support select option #1 then #1.

Please complete **and sign** this form and return a scanned copy by email to ICE Clear US, iceclearus@theice.com

	Completed	Not Completed	Location (Backup or Primary)
ICE Platform			
Enter Trades	_____	_____	_____
PTMS			
Mark Trades for Give-Up https://ptms.usclearing.theice.com/client/	_____	_____	_____
ACT			
Execute Give-Ups https://fec.usclearing.theice.com/	_____	_____	_____
ECS			
Login Successfully https://ecs.usclearing.theice.com/console/index.html	_____	_____	_____
FIXML trade feed/MQ channel			
Receive Trade Messages	_____	_____	_____
MFT File Transfer			
Connect to mft.usclearing.theice.com using SFTP software.			
Upload LT, PCS, or GCM File	_____	_____	_____
Download Match-Off File	_____	_____	_____

Date: _____

Clearing Member Name: _____

Clearing Member Representative: _____

Telephone Number: _____

Email: _____

Signature: _____

Clearing Members must complete each item. All items must be completed to merit a successful test. For any items not successfully completed please indicate the reason in the space below.

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